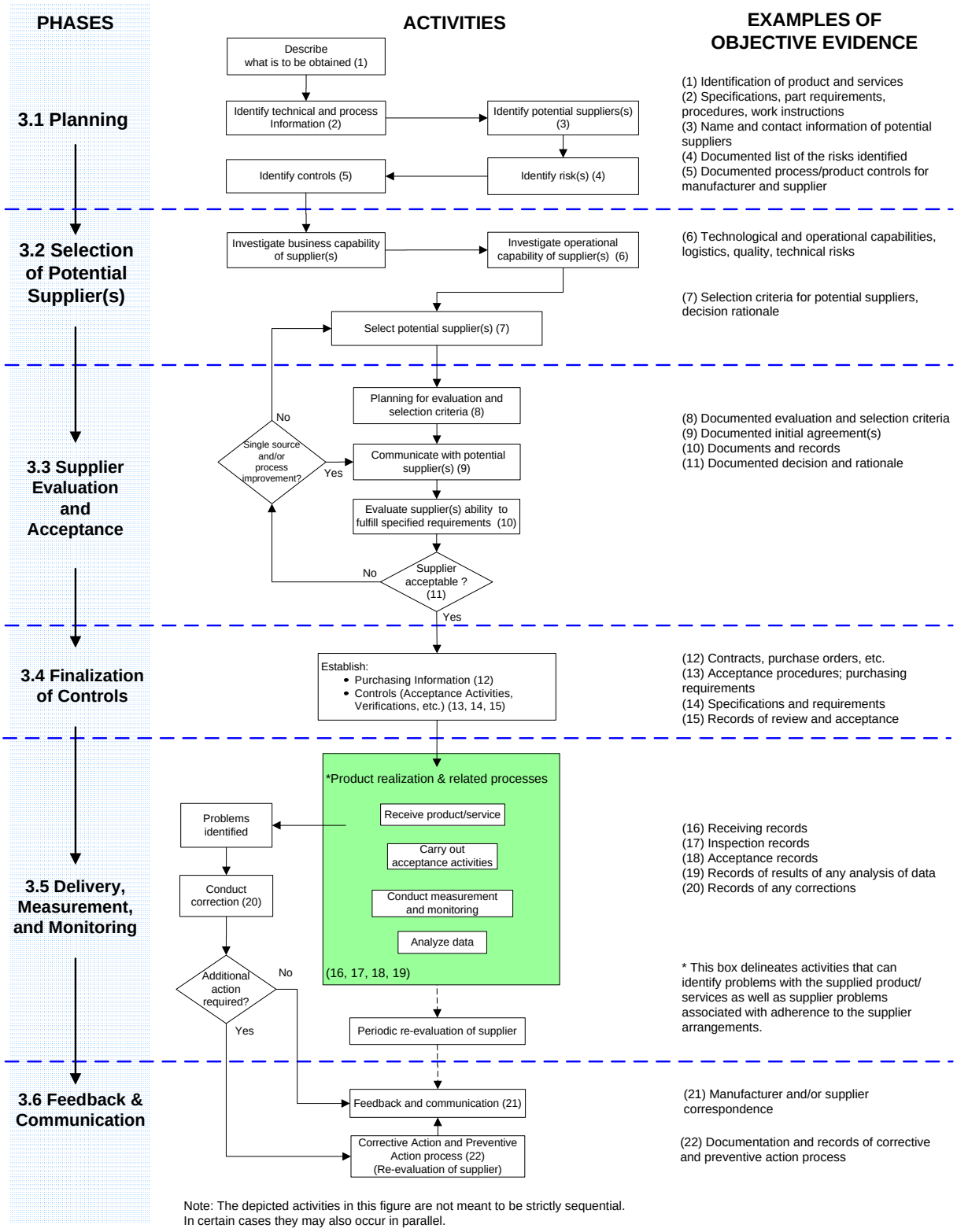
PHASES
ACTIVITIES
EXAMPLES OF OBJECTIVE EVIDENCE
3.1 Planning
Describe what is to be obtained (1)
Identify technical and process Information (2)
Identify potential suppliers(s) (3)
Identify risk(s) (4)
Identify controls (5)
(1) Identification of product and services
(2) Specifications, part requirements, procedures, work instructions
(3) Name and contact information of potential suppliers
(4) Documented list of the risks identified
(5) Documented process/product controls for manufacturer and supplier
3.2 Selection of Potential Supplier(s)
Investigate business capability of supplier(s)
Investigate operational capability of supplier(s) (6)
(6) Technological and operational capabilities, logistics, quality, technical risks
Select potential supplier(s) (7)
(7) Selection criteria for potential suppliers, decision rationale
3.3 Supplier Evaluation and Acceptance
Planning for evaluation and selection criteria (8)
Single source and/or process improvement?
No
Yes
Communicate with potential supplier(s) (9)
Evaluate supplier(s) ability to fulfill specified requirements (10)
Supplier acceptable ? (11)
No
Yes
(8) Documented evaluation and selection criteria
(9) Documented initial agreement(s)
(10) Documents and records
(11) Documented decision and rationale
3.4 Finalization of Controls
Establish:
• Purchasing Information (12)
• Controls (Acceptance Activities, Verifications, etc.) (13, 14, 15)
(12) Contracts, purchase orders, etc.
(13) Acceptance procedures; purchasing requirements
(14) Specifications and requirements
(15) Records of review and acceptance
3.5 Delivery, Measurement, and Monitoring
*Product realization & related processes
Receive product/service
Carry out acceptance activities
Conduct measurement and monitoring
Analyze data
(16, 17, 18, 19)
Problems identified
Conduct correction (20)
Additional action required?
No
Yes
Periodic re-evaluation of supplier
(16) Receiving records
(17) Inspection records
(18) Acceptance records
(19) Records of results of any analysis of data
(20) Records of any corrections
* This box delineates activities that can identify problems with the supplied product/ services as well as supplier problems associated with adherence to the supplier arrangements.
3.6 Feedback & Communication
Feedback and communication (21)
Corrective Action and Preventive Action process (22) (Re-evaluation of supplier)
(21) Manufacturer and/or supplier correspondence
(22) Documentation and records of corrective and preventive action process
Note: The depicted activities in this figure are not meant to be strictly sequential.
In certain cases they may also occur in parallel.

Figure 1